Fort Collins, Colorado 80527-2400

UNITED STATES ATENT AND TRADEMARK OFFICE

Inventor(s):

Hao et al.

Confirmation No.:

Application No.: 09/847,390

Examiner: Channavajiala

Filing Date:

May 2, 2001

Group Art Unit: 2177

Title:

Method and System for Web-Based Visualization of Directed Association and Frequent

Item Sets in Large Volumes of Transaction Data

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Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

OCT 2 9 2003

Technology Center 2100

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This Information Disclosure Statement is submitted:

() under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

INFORMATION DISCLOSURE STATEMENT

(X) under 37 CFR 1.97 (c) together with either a:

() Statement under 37 CFR 1.97(e), or

(X) a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

() under 37 CFR 1.97 (d) together with a:

() Statement under 37 CFR 1.97(e)(1) or (2), and

() a \$180.00 fee set forth in 37 CFR 1.17(p).

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Please charge to Deposit Account **08-2025** the sum of \$180.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: Oct. 21. 2003

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() I hereby certify that this paper is being transmitted to the Patent and Trademark Office

Typed Name: Tracey M. Dotter

Signature: Thucey M. Dotto

Respectfully submitted,

Hao et a

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3 FC:1806

10/29/2003 CHGUYEN

ATTORNEY DOCKET NO. 10003407-1

UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Mina C. I	Hao. Umeshwar	Dayal, Meichun Hsu	, Markus Gross	Thomas Sprenger
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Serial No.:

Examiner:

Filing Date: May 2, 2001

Group Art Unit:

Title:

METHOD AND SYSTEM FOR WEB-BASED VISUALIZATION OF DIRECTED ASSOCIATION

AND FREQUENT ITEM SETS IN LARGE VOLUMES OF TRANSACTION DATA

COMMISSIONER FOR PATENTS

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Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

OCT 2 9 2003

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() Statement under 37 CFR 1.97(e), or

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() under 37 CFR 1.97 (d) together with a:

() Statement under 37 CFR 1.97(e), and

() a petition under 37 CFR 1.97(d)(2), and

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Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Date of Deposit May 2, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

Typed Name: ERIC HO

Respectfully submitted,

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Date: May 2, 2001

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